

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited today with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Attorney Docket No.
D/A2395D

on 11/24/2003
Date

Francie S. LePore
Francie S. LePore

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Yiliang Wu et al.

Group Art Unit:

Application No.: (not assigned yet)

Examiner:

Filed: (concurrently herewith)

For: DEVICE USING GELABLE SELF-ORGANIZABLE POLYMER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

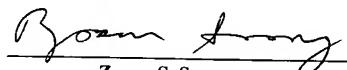
Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form, except for U.S. Patents or published U.S. applications. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), **OR** (b) before the mailing date of a first Office Action on the merits. *No certification or fee is required.*
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
 - a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

- b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- 4. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection or Notice of Allowance, but before the payment of the Issue Fee. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p) (*A copy of this paper is attached*); AND
 - a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); (**OR**)
 - b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. 10/273,896, filed 10/17/2002, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.



Zosan S. Soong

Signature under 37 CFR 1.33 & 34

Registration No. 33,333

Telephone No. 585-423-4292

Date 11/24/2003

Form PTO-1449 INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. D/A2395D	APPLICATION NO.
	APPLICANT	Yiliang Wu et al.	
	FILING DATE	GROUP ART UNIT	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
	US-2003/0160230 A1	8/28/03	Ong et al.	257	20
	US-2003/0160234 A1	8/28/03	Ong et al.	257	40
	US-2003/0136958 A1	7/24/03	Ong et al.	257	40

FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
	PCT	WO 00/79617 A1	12/28/00	Cambridge University Technical Services Limited	

OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

F. Brustolin et al., "Highly Ordered Structures of Amphiphilic Polythiophenes in Aqueous Media," <i>Macromolecules</i> , Vol. 35, pp. 1054-1059 (published on web January 3, 2002).
G. Dufresne et al., "Thermochromic and Solvatochromic Conjugated Polymers by Design," <i>Macromolecules</i> , Vol. 33, pp. 8252-8257 (published on web September 30, 2000).
M. Leclerc, "Optical and Electrochemical Transducers Based on Functionalized Conjugated Polymers, <i>Adv. Mater.</i> , Vol. 11, No. 18, pp. 1491-1498 (1999).
Yiliang Wu et al., U.S. Serial No. 10/273,901, filed 10/17/2002, titled "PROCESS AND DEVICE USING SELF-ORGANIZABLE POLYMER" (Attorney Docket No. D/A1714).
Yiliang Wu et al., U.S. Serial No. _____, expected filing date 11/14/2003, titled "DEVICE USING SELF-ORGANIZABLE POLYMER" (Attorney Docket No. D/A1714D).

EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.